EFFECTIVE DATE 11/12/2003

NEBRASKA HEALTH AND HUMAN SERVICES REGULATION AND LICENSURE

186 NAC 4

TITLE 186 HEALTH REGISTRIES AND RELEASE OF INFORMATION

CHAPTER 4 PARKINSON'S DISEASE REGISTRY

<u>4-001 SCOPE AND AUTHORITY:</u> The purpose of the Parkinson's Disease Registry is to establish and maintain a compilation of cases of Parkinson's disease and related movement disorders occurring among residents of the state of Nebraska to achieve the goals of statistical identification for research, planning for health care requirements, and education of health care providers and persons with Parkinson's disease and related movement disorders.

186 NAC 4 applies to each physician licensed under the Uniform licensing law and the pharmacist in charge of each pharmacy located within the state or doing business in the state. 186 NAC 4 sets forth procedures for the reporting of such cases and information to the Department by physicians and pharmacists. 186 NAC 4 also provides procedures and standards that govern access to registry data pursuant to Neb. Rev. Stat. §§ 81-663 to 81-675.

4-002 DEFINITIONS:

<u>Department</u> means the Nebraska Department of Health and Human Services Regulation and Licensure.

<u>Parkinson's disease</u> means a chronic, progressive disorder in which there is a lack of the chemical dopamine in the brain as a direct result of the destruction of the dopamine-producing cells in the portion of the brain called the substantia nigra. Clinical features of the disease include tremor at rest, slow movements, rigidity, and unsteady or shuffling gait and may be indicated by improvement after using medications used for Parkinson's disease.

<u>Related movement disorder</u> means a disorder that resembles Parkinson's disease in some way, such as another kind of tremor.

4-003 LIST OF DRUGS REQUIRED TO BE REPORTED FOR PARKINSON'S DISEASE: The Department will issue a list of drugs used for the treatment of Parkinson's disease to be reported under this section. A copy of the list is provided in Attachment 1. This list will be reviewed and revised annually. The annual list will be revised before January 1 of each year. During January of each year, the Department will distribute the list to each pharmacy located within the state or doing business in the state. The list distributed in January will be used for all cases reported in that calendar year.

<u>4-004 DATA REQUIREMENTS:</u> Data to be reported to the Department for each individual resident of this state who is diagnosed with Parkinson's disease or related movement disorder as specified by reporting requirements set forth in 186 NAC 4-004.01 to 4-004.03.

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<u>4-004.01 Physician reporting requirements</u>. Each physician licensed under the Uniform Licensing Law must report the diagnosis of Parkinson's disease or related movement disorder and required information for all Nebraska residents within 60 days after the diagnosis is made. The report must contain the following information about the person diagnosed with Parkinson's disease or related movement disorder:

- 1. Name;
- 2. Social security number;
- Date of birth:
- 4. Gender:
- Address at time of diagnosis;
- 6. Current address:
- 7. Date of diagnosis;
- 8. Physician;
- 9. Identification of reporting source; and
- 10. Any additional information the department demonstrates is reasonable to implement the Parkinson's Disease Registry Act.

4-004.02 Pharmacist reporting requirements. The pharmacist in charge of each pharmacy located within the state or doing business in the state must report dispensation of drugs that are included on the list of drugs to be reported for Parkinson's disease issued by the Department each January. The report will be filed on a semi-annual basis. The report for the months of January through June must be due on or before the following July 31st, and the report for the months of July through December must be due on or before January 31st of the following year. Data to be reported to the Department for each individual resident of this state to whom the pharmacist has dispensed drugs as specified on the list of drugs required to be reported for Parkinson's disease, as specified in 186 NAC 4-003 are as follows:

- 1. Name:
- 2. Address:
- 3. Social security number;
- 4. Name of the prescribing physician; and
- 5. Address of the prescribing physician.

<u>4-004.03 Individual reporting</u>. Any individual resident of this state who has been diagnosed with Parkinson's disease or a related movement disorder by a licensed physician may file a report with the Department providing the following information:

- 1. Name;
- 2. Social security number;
- 3. Date of birth;
- 4. Gender:
- 5. Address at time of diagnosis;
- 6. Current address:
- 7. Date of diagnosis;
- 8. Physician:
- 9. Identification of reporting source; and

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10. Any additional information the department demonstrates is reasonable to implement the Parkinson's Disease Registry Act.

The Department must validate all individual reports as specified in 186 NAC 4-005.

<u>4-005 VALIDATION OF INDIVIDUAL REPORTS:</u> The Department must provide for validation of reports made by individuals who have been diagnosed with Parkinson's disease or related movement disorder. This validation will consist of finding a corroborating report within the information having been reported by physicians.

4-006 AVAILABILITY OF MEDICAL RECORDS: Each physician must make available medical records that document the diagnosis of individuals with Parkinson's disease or related movement disorders. Each pharmacist must make available patient drug profiles that document the prescribing of the reportable drugs. For the Department's purpose of recording and auditing specific data such medical records or patient drug profiles must be made available to the Department or its authorized representative in the offices of such physician or pharmacist during normal working hours. The Department or its authorized representative will present proper identification to the physician or pharmacist.

4-007 CONFIDENTIALITY AND RELEASE OF INFORMATION: All data and information obtained from records of individuals with Parkinson's disease or related movement disorders will be subject to and comply with Neb. Rev. Stat. §§ 81-663 to 81-675. For the purposes of the Parkinson's Disease Registry data may be released either as Class I, Class II, Class III, or Class IV data as described in Neb. Rev. Stat. §§ 81-667 and 186 NAC 5 Release of Medical Records and Health Information.

Any de-identified data (other than Class III data) asked for by and furnished to a researcher may not be intentionally re-identified in any manner. Should a recipient of de-identified information unintentionally or accidentally be able to identify any individual they must not use that information in any way. The recipient must also notify the Department of the means of accidental re-identification in order for the Department to consider additional procedures to safeguard against breaches in confidentiality.

4-008 RELEASE FROM LIABILITY: Any physician, pharmacist, or medical professional required to make reports under the Parkinson's Disease Registry Act and 186 NAC 4-004.01 and 4-004.02 is immune from liability, civil, criminal, or otherwise, that might result from divulging such information. Any physician, pharmacist or medical professional required to make reports is immune from liability, civil, criminal, or otherwise, for filing an incomplete report as a result of the failure of an individual to provide the information necessary to make such a report.

4-009 PENALTY FOR IMPROPER DISCLOSURE: Any private or public entity, individual, or approved researcher who wrongfully discloses confidential data obtained from the medical record and health information registry or uses such information with the intent to deceive will be guilty of a Class IV misdemeanor for each offense. Any person or entity that fails to make reports in good faith as provided by the Parkinson's Disease Registry Act will be guilty of a Class V misdemeanor for each offense.

EFFECTIVE JANUARY 1, 2014

NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES

186 NAC 4 ATTACHMENT 1

REPORTABLE LIST OF DRUGS Effective January 1, 2014

Nebraska Parkinson's Disease Registry Nebraska Department of Health and Human Services

To fully implement the Nebraska Parkinson's Disease Registry, the following is a list of drugs, which if dispensed in any combination or in any generic form, require reporting of certain items to the Nebraska Department of Health and Human Services. These items are patient name and address, Social Security number and prescribing physician name and address.

Azilect

Carbidopa/levodopa (if prescribed for times other than evening or bedtime only)
Mirapex (if prescribed for times other than evening or bedtime only)
Neupro
Requip (if prescribed for times other than evening or bedtime only)
Selegiline (except Emsam)
Stalevo

PLEASE NOTE: <u>DO NOT</u> REPORT PATIENTS IF THE <u>PHYSICIAN</u> INDICATES THAT THE DRUG IS PRESCRIBED FOR RESTLESS LEG SYNDROME ONLY OR IF THE DRUG IS PRESCRIBED FOR EVENING OR BEDTIME USE ONLY.

Please submit this information to: Jill Krause DHHS Public Health/Health Statistics PO Box 95026 Lincoln NE 68509-5026

For more information, please send an email to <u>jill.krause@nebraska.gov</u>, call (402) 471-8582, or visit our website <u>www.dhhs.ne.gov/parkinsons</u>.

Thank you for your support of the Nebraska Parkinson's Disease Registry!